

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Thomas Yu, et al.  
Title: TUNGSTEN HALOGEN LAMP WITH  
OPTIMIZED SILICON HYDRIDE FILL  
Serial No.: 10/666,750  
Art Unit: 2879  
Filing Date: September 18, 2003  
Examiner: G. Zimmerman  
Last Office Action: April 20, 2004  
Attorney Docket No.: LD 11553-2T (GECZ 2 00522-1)  
Date: May 20, 2004

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98.

- [X] 1. A list of documents on Form PTO-1449 or Substitute together with copies of each identified document, and a translation thereof or a concise explanation of each non-English language document or a Search Report or communication from a non-US patent office or an International Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

This paper is submitted in accordance with:

- [X] 2. 37 CFR 1.97(b): [within three months of national, non-CPA filing, prior to first Office Action, on the merits, or prior to first office action after filing an RCE]
- [ ] 3. 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- [ ] a. The required Certification made in item 5 below; or
- [ ] b. The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.

CERTIFICATE OF FACSIMILE TRANSMISSION	
I certify that this Information Disclosure Statement is being transmitted via facsimile to (703) 872-9306 on the date indicated below.	
DATE	SIGNATURE
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	KARA L. KRIST

☐ 4. 37 CFR 1.97(d): [on or before issue fee payment]; and

- a) The required Certification is stated in item 5 below; and
- b) The \$180.00 fee specified in 37 C.F.R. 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.

☐ 5. Certification

- ☐ a. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- ☐ b. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.

☐ 6. Payment of all applicable fees:

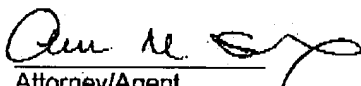
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Enclosed is a check in the amount of \$ \_\_\_\_\_ in payment of all applicable fees associated with  
the submittal of this Information Disclosure Statement.

This document is submitted in duplicate.

Respectfully submitted,

FAY, SHARPE, FAGAN,  
MINNICH & MCKEE, LLP



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Substitute for form 1449A/PTO		<b>Complete if Known</b>				
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT(S)</b>		Application Number	10/666,750			
		Filing Date	September 18, 2003			
		First Named Inventor	Yu, Thomas			
		Art Unit	2879			
		Examiner Name	G. Zimmerman			
Sheet 1 of 1		Attorney Docket No.	LD 11553-2T (GECZ 2 00522-1)			
<b>U.S. PATENT DOCUMENTS</b>						
Examiner Initials*	Cite No.	Document No. Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	AA	US-4,898,558	02/06/1990	Shaffer		
	AB	US-5,034,656	07/23/1991	Yu et al.		
	AC	US-5,109,182	04/28/1992	Laszlo		
	AD	US-				
	AE	US-				
	AF	US-				
	AG	US-				
	AH	US-				
	AI	US-				
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<b>FOREIGN PATENT DOCUMENTS</b>						
Examiner Initials*	Cite No.	Foreign Patent Document Country Code-Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
	AM					<input type="checkbox"/>
	AN					<input type="checkbox"/>
	AO					<input type="checkbox"/>
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<b>OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS</b>						
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Examiner

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PATENT TRADEMARK AND COPYRIGHT LAW

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DATE:	May 20, 2004
TO:	U.S. Patent and Trademark Office
ATTN.:	Examiner Glenn Zimmerman
FACSIMILE NO.:	703-872-9306
FROM:	Ann M. Skerry
RE:	Our Ref.: Application Serial No.: 10/666,750; LD 11553-2T (GECZ 2 00522-1)
TOTAL PAGES:	-5- (including cover sheet)

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*Please call us immediately at (216) 861-5582 if this transmission is incomplete or illegible.*

**Comments**

**Attachments:**

- Response to Restriction Requirement (1 page);
- Information Disclosure Statement (2 pages);
- PTO Form SB/08a (1 page).

**(via facsimile only)**

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